| Clerk of the House of Representatives<br>Legislative Resource Center | Secretary of the Senate<br>Office of Public Records |
|--|---|
| B-106 Cannon Building  | 232 Hart Building                                   |
| Washington, DC 20515   | Washington, DC 20510                                |
| http://lobbyingdisclosure.house.gov                                  | http://www.senate.gov/lobby                         |

## **LOBBYING REPORT**

| Lobbying Disclosure Act of 1995 (Section 5) - All File   | rs Are Required to Complete This Pa   | ge   |
|--|---|--|
| 1. Registrant Name  Organization/Lobbying Firm Self Employed Fract & Young LLD (M/achington  |   |  |
| Ernst & Young LLP (Washington  | Council Effisit & fourig)   |  |
| 2. Address Check if different than previously reported   |   |  |
| Address1 1001 Pennsylvania Avenue, N.W.  |   |  |
| City WASHINGTON State  | DC Zip Code 20004 -   | Country USA  |
| 3. Principal place of business (if different than line 2)  |   |  |
| City State   | Zip Code -  | Country  |
| 4a. Contact Nameb. Telephone NumberInternational Number  | c. E-mail   | 5. Senate ID#  |
|  | lee.moe@wc.ey.com   | 57475-238  |
| 7. Client Name Self Check if client is a state o   | r local government or instrumentality   | 6. House ID#<br>351850037  |
| <b>TYPE OF REPORT</b> 8. Year <b>2008</b> Q1 (1/1 - 3/31   | ) $\Box$ Q2 (4/1 - 6/30) $\Box$ Q3 (7/1-9/30) $\Box$  | Q4 (10/1 - 12/31) ☑  |
| 9. Check if this filing amends a previously filed version of this report   | t 🔲   |  |
| 10. Check if this is a Termination Report  | te 11. No Lobbying  | Issue Activity   |
| INCOME OR EXPENSES - YOU MUST complete   | either Line 12 or Line 13   |  |
| 12. Lobbying   | 13. Organization  | s  |
| <b>INCOME</b> relating to lobbying activities for this reporting period was:   | <b>EXPENSE</b> relating to lobbying activities f were:  | or this reporting period   |
| Less than \$5,000  | Less than \$5,000   |  |
| <u>\$5,000 or more</u> <b>§ 80,000.00</b>  | <u>\$5,000 or more</u> <b>\$</b>  |  |
|  |   |  |
| Provide a good faith estimate, rounded to the nearest \$10,000, of all lobbying related income from the client (including all  | <b>14. REPORTING</b> Check box to accounting method. See instructions for de  | indicate expense<br>scription of options.  |
| of all lobbying related income from the client (including all<br>payments to the registrant by any other entity for lobbying   |   | scription of options.  |
| of all lobbying related income from the client (including all  | accounting method. See instructions for de  | scription of options.<br>definitions only  |
| of all lobbying related income from the client (including all<br>payments to the registrant by any other entity for lobbying   | <ul> <li>accounting method. See instructions for de</li> <li>Method A. Reporting amounts using LDA</li> <li>Method B. Reporting amounts under section</li> </ul>  | scription of options.<br>definitions only<br>on 6033(b)(8) of the                              |
| of all lobbying related income from the client (including all<br>payments to the registrant by any other entity for lobbying   | <ul> <li>accounting method. See instructions for de</li> <li>Method A. Reporting amounts using LDA</li> <li>Method B. Reporting amounts under section</li> <li>Internal Revenue Code</li> <li>Method C. Reporting amounts under section</li> </ul>          | scription of options.<br>definitions only<br>on 6033(b)(8) of the                              |
| of all lobbying related income from the client (including all<br>payments to the registrant by any other entity for lobbying<br>activities on behalf of the client).   | <ul> <li>accounting method. See instructions for de</li> <li>Method A. Reporting amounts using LDA</li> <li>Method B. Reporting amounts under secti<br/>Internal Revenue Code</li> <li>Method C. Reporting amounts under secti<br/>Revenue Code</li> </ul>  | scription of options.<br>definitions only<br>on 6033(b)(8) of the<br>on 162(e) of the Internal |
| of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).          Signature       Filed Electronically | <ul> <li>accounting method. See instructions for de</li> <li>Method A. Reporting amounts using LDA</li> <li>Method B. Reporting amounts under section Internal Revenue Code</li> <li>Method C. Reporting amounts under section Revenue Code</li> </ul> Date | scription of options.<br>definitions only<br>on 6033(b)(8) of the<br>on 162(e) of the Internal |
| of all lobbying related income from the client (including all<br>payments to the registrant by any other entity for lobbying<br>activities on behalf of the client).   | <ul> <li>accounting method. See instructions for de</li> <li>Method A. Reporting amounts using LDA</li> <li>Method B. Reporting amounts under section Internal Revenue Code</li> <li>Method C. Reporting amounts under section Revenue Code</li> </ul> Date | scription of options.<br>definitions only<br>on 6033(b)(8) of the<br>on 162(e) of the Internal |

| Registrant   | Ernst & Young LLP | (Washington C     | ouncil Ernst & Young) | Client Name | CITIGROUP   |         |
|--|-------------------|-------------------|-----------------------|-------------|---|---------|
| engaged in   |                   | f of the client d | uring the reporting   |             | general issue areas in which the registrant<br>g a separate page for each code, provide |         |
| 15. Genera   | l issue area code | BAN               | BANKING               |             | (one per page)  |         |
| 16. Specifi  | c lobbying issues |                   |                       |             |   |         |
| Deposit i  | insurance refo    | rm; corpora       | ate governance        |             |   |         |
| Treasury   | ∕ - Dept of       |                   | IVES, U.S. SE         |             | ecutive Office of the President (EOP)   | ,       |
| First Name   |                   | Last Name         | -                     | Suffix      | Covered Official Position (if applicable)   | New     |
| Tara   |                   | Bradshav          | v                     |             |   |         |
| John   |                   | Doney             |                       |             |   |         |
| Donna  |                   | Steele-Fl         | ynn                   |             |   |         |
| LaBrend  | а                 | Garrett-N         | lelson                |             |   |         |
| Gary   |                   | Gasper            |                       |             |   |         |
| Amy  |                   | Swonger           |                       |             |   |         |
| Nick   |                   | Giordano          |                       |             |   |         |
| Francis  |                   | Grab              |                       |             |   |         |
| Will   |                   | Heyniger          |                       |             |   |         |
| 19. Interest of each foreign entity in the specific issues listed on line 16 above       ✓       Check if None |                   |                   |                       |             |   |         |
| Printed Na<br>v6.0.1f  | me and Title N    | ick Gioro         | dano, Princi          | pal         | Page 2  | _ of _9 |

Ernst & Young LLP (Washington Council Ernst & Young) Client Name CITIGROUP

ADDENDUM for General Lobbying Issue

BAN - BANKING

|         | Name         |        | Covered Official Position (if applicable) | New      |
|---------|--------------|--------|---|----------|
|         | <b>T</b> and | G. (C  |   |          |
| First   | Last         | Suffix |   |          |
| Dave    | Koshgarian   |        |   |          |
| Richard | Meltzer      |        |   |          |
| Anne    | Phelps       |        |   |          |
| John    | Porter       |        |   |          |
| Kurt    | Ritterpusch  |        |   |          |
| Robert  | Rozen        |        |   |          |
| Tim     | Urban        |        |   |          |
| Jeff    | Petrich      |        |   |          |
| Holly   | Bode         |        | HHS Special Asst to Asst. Sec. 1994-1999  | 2        |
| Holly   | Bode         |        | Rep. Sander Levin, LA 1993-1994           | 2        |
| Holly   | Bode         |        | Sen. Aging Com., LC 1985-1993             | <b>v</b> |

Printed Name and Title <u>Nick Giordano</u>, Principal

| Registrant         | Ernst & Young LLP    | (Washington Council Ernst & Yo   | Dung) Client Name | CITIGROUP   |        |
|--------------------|----------------------|----------------------------------|-------------------|---|--------|
| engaged in         | lobbying on behalf   | -                                | ting period. Usin | general issue areas in which the registrant<br>g a separate page for each code, provide |        |
| 15. Genera         | l issue area code    | FIN FINANCIAL INSTIT             | UTIONS/INVESTMEN  | TS/SECURITIES (one per page)  |        |
| 16. Specifi        | c lobbying issues    |                                  |                   |   |        |
| General<br>governa |                      | ncial services; terrorisr        | n insurance i     | ssues; deposit insurance reform; co   | porate |
| 17. House          | (s) of Congress and  | Federal agencies C               | Check if None     |   |        |
|                    | y - Dept of          | ho acted as a lobbyist in this   | issue area        |   |        |
| First Name         |                      | Last Name                        | Suffix            | Covered Official Position (if applicable)   | New    |
| Tara               |                      | Bradshaw                         |                   |   |        |
| John               |                      | Doney                            |                   |   |        |
| Donna              |                      | Steele-Flynn                     |                   |   |        |
| LaBrend            | la                   | Garrett-Nelson                   |                   |   |        |
| Gary               |                      | Gasper                           |                   |   |        |
| Amy                |                      | Swonger                          |                   |   |        |
| Nick               |                      | Giordano                         |                   |   |        |
| Francis            |                      | Grab                             |                   |   |        |
| Will               |                      | Heyniger                         |                   |   |        |
| 19. Interes        | t of each foreign er | tity in the specific issues list | ted on line 16 ab | ove 🗹 Check if None   |        |
| Printed Na         | ame and Title $N$    | ick Giordano, Pri                | ncipal            | Page <u>4</u>   | of _9  |
|                    |                      |                                  |                   |   |        |

ADDENDUM for General Lobbying Issue

Ernst & Young LLP (Washington Council Ernst & Young) Client Name CITIGROUP

FIN - FINANCIAL INSTITUTIONS/INVESTMENTS/SECURITIES

|         | Name        |        | Covered Official Position (if applicable) | New    |
|---------|-------------|--------|---|--------|
| First   | Last        | Suffix |   |        |
| Dave    | Koshgarian  |        |   |        |
| Richard | Meltzer     |        |   |        |
| Anne    | Phelps      |        |   |        |
| John    | Porter      |        |   |        |
| Kurt    | Ritterpusch |        |   |        |
| Robert  | Rozen       |        |   |        |
| Tim     | Urban       |        |   |        |
| Jeff    | Petrich     |        |   |        |
| Holly   | Bode        |        | HHS Special Asst. to Asst. Sec. 1994-1999 | V      |
| Holly   | Bode        |        | Rep. Sander Levin, LA 1993-1994           | V      |
| Holly   | Bode        |        | Sen. Aging Com., LC 1985-1993             | ۲<br>ا |

Printed Name and Title <u>Nick Giordano</u>, Principal

| Registrant   | Ernst & Young LLP  | (Washington Council E               | Ernst & Young) | Client Name      | CITIGROUP   |         |  |
|--|--|-------------------------------------|----------------|------------------|---|---------|--|
| engaged in   | lobbying on behalf   | -                                   | he reporting   |                  | general issue areas in which the registrant<br>g a separate page for each code, provide |         |  |
| 15. General  | l issue area code  | RET RET                             | IREMENT        |                  | (one per page)  |         |  |
| 16. Specific   | c lobbying issues  |                                     |                |                  |   |         |  |
| Pension  | reform; retirer  | nent savings                        |                |                  |   |         |  |
| U.S. HO  | 17. House(s) of Congress and Federal agencies Check if None U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Executive Office of the President (EOP), Labor - Dept of (DOL), Treasury - Dept of |                                     |                |                  |   |         |  |
| First Name   | f each individual v  | vho acted as a lobbyis<br>Last Name |                | e area<br>Suffix | Covered Official Position (if applicable)   | New     |  |
| Tara<br>John   |  | Bradshaw                            |                |                  |   |         |  |
| Donna  |  | Doney<br>Steele-Flynn               |                |                  |   |         |  |
| LaBrend  | a  | Garrett-Nelsor                      | <u> </u>       |                  |   |         |  |
| Gary   | <u> </u>   | Gasper                              | ·              |                  |   |         |  |
| Amy  |  | Swonger                             |                |                  |   |         |  |
| Nick   |  | Giordano                            |                |                  |   |         |  |
| Francis  |  | Grab                                |                |                  |   |         |  |
| Will   |  | Heyniger                            |                |                  |   |         |  |
| 19. Interest of each foreign entity in the specific issues listed on line 16 above       ✓       Check if None |  |                                     |                |                  |   |         |  |
| Printed Na   | me and Title N   | ick Giordand                        | o, Princi      | pal              | Page <u>6</u>   | _ of _9 |  |

Ernst & Young LLP (Washington Council Ernst & Young) Client Name CITIGROUP

ADDENDUM for General Lobbying Issue

RET - RETIREMENT

|         | Name        |        | Covered Official Position (if applicable) | New |
|---------|-------------|--------|---|-----|
|         |             |        |   |     |
| First   | Last        | Suffix |   |     |
| Dave    | Koshgarian  |        |   |     |
| Richard | Meltzer     |        |   |     |
| John    | Porter      |        |   |     |
| Kurt    | Ritterpusch |        |   |     |
| Robert  | Rozen       |        |   |     |
| Anne    | Phelps      |        |   |     |
| Tim     | Urban       |        |   |     |
| Jeff    | Petrich     |        |   |     |
| Holly   | Bode        |        | HHS Special Asst to Asst. Sec. 1994-1999  | V   |
| Holly   | Bode        |        | Rep. Sander Levin, LA 1993-1994           | ~   |
| Holly   | Bode        |        | Sen. Aging Com., LC 1985-1993             | r   |

Printed Name and Title Nick Giordano, Principal

| Registrant  | Ernst & Young LLP          | (Washington Co    | uncil Ernst & Young  | ) Client Name  | CITIGROUP                                     |   |
|---|----------------------------|-------------------|----------------------|----------------|---|---|
| <b>LOBBYING ACTIVITY</b> . Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed. |                            |                   |                      |                |   |   |
| 15. Genera  | al issue area code         | TAX               | TAXATION/INTE        | RNAL REVE      | NUE CODE (one per page)                       |   |
| 16. Specifi   | ic lobbying issues         |                   |                      |                |   |   |
| General   | corporate and              | internationa      | al tax issues;       | subpart F      |   |   |
|   |                            |                   |                      |                |   |   |
|   |                            |                   |                      |                |   |   |
|   | (s) of Congress and        | -                 |                      | k if None      |   |   |
|   | USE OF REPF<br>y - Dept of | RESENTATI         | VES, U.S. SE         | ENATE, EX      | ecutive Office of the President (EOP),        |   |
| Teasur  | y - Dept Of                |                   |                      |                |   |   |
|   |                            |                   |                      |                |   |   |
|   |                            |                   |                      |                |   |   |
|   |                            |                   |                      |                |   |   |
|   |                            |                   |                      |                |   |   |
|   |                            |                   |                      |                |   |   |
|   |                            |                   |                      |                |   |   |
|   |                            |                   |                      |                |   |   |
| 18. Name o  | of each individual w       | who acted as a lo | obbyist in this issu | ie area        |   |   |
| First Name  |                            | Last Name         |                      | Suffix         | Covered Official Position (if applicable) New | w |
| Tara  |                            | Bradshaw          | 1                    |                |   |   |
| John  |                            | Doney             |                      |                |   |   |
| Donna   |                            | Steele-Fly        | /nn                  |                |   |   |
| LaBrend   | da                         | Garrett-Ne        | elson                |                |   |   |
| Gary  |                            | Gasper            |                      |                |   |   |
| Amy   |                            | Swonger           |                      |                |   |   |
| Nick  |                            | Giordano          |                      |                |   |   |
| Francis   |                            | Grab              |                      |                |   |   |
| Will  |                            | Heyniger          |                      |                |   |   |
| 19. Interes   | st of each foreign er      | ntity in the spec | cific issues listed  | on line 16 abo | ove 🕑 Check if None                           |   |
|   |                            |                   |                      |                |   |   |
|   |                            |                   |                      |                |   |   |
|   |                            |                   |                      |                |   |   |
|   |                            |                   |                      |                |   |   |
| L   | <b>.</b> .                 |                   |                      |                |   | ] |
|   | ame and Title N            | ICK GIORD         | ano, Princ           | ipal           |   |   |
| v6.0.1f   |                            |                   |                      |                | Page <u>8</u> of <u>9</u>                     |   |

## ADDENDUM for General Lobbying Issue

TAX - TAXATION/INTERNAL REVENUE CODE

|         | Name          |        | Covered Official Position (if applicable) | New |
|---------|---------------|--------|---|-----|
| First   | Last          | Suffix |   |     |
| Dave    | Koshgarian    |        |   |     |
| Dave    | KOSIIgar Tali |        |   |     |
| Richard | Meltzer       |        |   |     |
| Anee    | Phelps        |        |   |     |
| John    | Porter        |        |   |     |
| Kurt    | Ritterpusch   |        |   |     |
| Robert  | Rozen         |        |   |     |
| Tim     | Urban         |        |   |     |
| Jeff    | Petrich       |        |   |     |
| Holly   | Bode          |        | HHS Special Asst. to Asst. Sec. 1994-1999 | V   |
| Holly   | Bode          |        | Rep. Sander Levin, LA 1993-1994           | 2   |
| Holly   | Bode          |        | Sen. Aging Com., LC 1985-1993             | V   |

## Printed Name and Title <u>Nick Giordano</u>, Principal