

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

<http://lobbyingdisclosure.house.gov>

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

<http://www.senate.gov/lobby>

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name ☒ Organization/Lobbying Firm ☐ Self Employed Individual

Morgan Stanley & Co. Incorporated

2. Address ☐ Check if different than previously reported

Address1 401 9TH STREET, NW, #650

Address2

City WASHINGTON

State

DC

Zip Code 20004

-

Country

USA

3. Principal place of business (if different than line 2)

City

State

Zip Code

-

Country

4a. Contact Name

b. Telephone Number

c. E-mail

5. Senate ID#

Mr. MICHAEL J. STEIN

☐ International Number

(202) 654-2040

25842-12

7. Client Name

☒ Self

☐ Check if client is a state or local government or instrumentality

6. House ID#

Morgan Stanley & Co. Incorporated

318130000

TYPE OF REPORT

8. Year 2008

Q1 (1/1 - 3/31) ☐

Q2 (4/1 - 6/30) ☐

Q3 (7/1-9/30) ☒

Q4 (10/1 - 12/31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐

Termination Date

11. No Lobbying Issue Activity ☒

INCOME OR EXPENSES - YOU MUST complete either Line 12 or Line 13

12. Lobbying

INCOME relating to lobbying activities for this reporting period was:

Less than \$5,000 ☐

\$5,000 or more ☒

\$

Provide a good faith estimate, rounded to the nearest \$10,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSE relating to lobbying activities for this reporting period were:

Less than \$5,000 ☐

\$5,000 or more ☒

\$ 690,000.00

14. REPORTING

Check box to indicate expense accounting method. See instructions for description of options.

☒ Method A. Reporting amounts using LDA definitions only

☐ Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☐ Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature 

Digitally Signed By: Michael J. Stein

Date 10/17/2008

Printed Name and Title Michael J. Stein

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code

BAN

Banking

(one per page)

16. Specific lobbying issues

Banking Industry Reforms
Mortgage Industry Reforms
Troubled Assets Relief Program
Data Security (S2856; HR3505)

17. House(s) of Congress and Federal agencies

☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Federal Deposit Insurance Commission (FDIC), Securities & Exchange Commission (SEC), Treasury - Dept of, Federal Reserve System

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Joshua	Wilsusen			<input type="checkbox"/>
Michael	Stein			<input type="checkbox"/>
Weston	Coulam			<input type="checkbox"/>
				<input type="checkbox"/>
				<input checked="" type="checkbox"/>
				<input checked="" type="checkbox"/>
				<input checked="" type="checkbox"/>
				<input checked="" type="checkbox"/>
				<input checked="" type="checkbox"/>
				<input checked="" type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Printed Name and Title Michael J. Stein

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code

FIN

Financial Institutions/Investments/Sec

(one per page)

16. Specific lobbying issues

FASB Accounting Proposals

Issues related to Broker Dealers

Energy Legislation

Issues related to Derivatives Regulations

17. House(s) of Congress and Federal agencies

☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, Securities & Exchange Commission (SEC), Federal Energy Regulatory Commission (FERC), Treasury - Dept of, U.S. SENATE, Commodity Futures Trading Commission (CFTC), Federal Reserve System

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Joshua	Wilsusen			<input type="checkbox"/>
Michael	Stein			<input type="checkbox"/>
Weston	Coulam			<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

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Issues related to Energy and Trading
Issues related to Commodity Markets
Issues related to Market Reform
Government Sponsored Enterprises
Issues related to Futures Market Competition
Issues related to Investment Companies
Issues related to Hedge Fund Regulation
Issues related to Equity Market Structure
Issues related to Pension Reform
Commodity Exchange Act Reform
Commercial Arbitration Reform

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code

TRD

Trade (Domestic/Foreign)

(one per page)

16. Specific lobbying issues

China Currency Legislation

US-Russia Relationship

Immigration Legislation

US-China Relationship

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, State - Dept of (DOS), Treasury - Dept of, Commerce - Dept of (DOC), U.S. Trade Representative (USTR), Commodity Futures Trading Commission (CFTC), Federal Reserve System, Securities & Exchange Commission (SEC), Homeland Security - Dept of (DHS)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Kristin	Roesser			<input type="checkbox"/>
Michael	Stein			<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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Visa Issues
China Market Access
WTO Doha Round - Financial Services, Transparency
India Market Access
EU Clearing and Settlement
EU-US Accounting Convergence
CFIUS
Vietnam Market Access

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code

TAX

Taxation/Internal Revenue Code

(one per page)

16. Specific lobbying issues

Taxation of Financial Products

Active Financing Legislation (HR 1509; S940)

Securities Cost Basis Reporting

Energy Tax Legislation

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Treasury - Dept of, Labor - Dept of (DOL), Internal Revenue Service (IRS)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Weston	Coulam			<input type="checkbox"/>
Michael	Stein			<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title Michael J. Stein

Consolidated Tax Returns
Business Activity Tax
Technical Corrections to Pension Protection Act
Partnership Taxation
Tax Information Reporting (S.636)
Private Equity Taxation
Issues Related to Tax-Exempt Bonds
Issues Related to Pensions & Deferred Compensation
Controlled Foreign Corporation Look Through Treatment (S. 1273, HR 3735)
Issues Related to Net Operating Losses
Issues Related to Housing Legislation
Troubled Assets Relief Program

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code

BNK

Bankruptcy

(one per page)

16. Specific lobbying issues

Amendments to Bankruptcy Laws

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES,

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Josh	Wilsusen			<input type="checkbox"/>
Michael	Stein			<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title Michael J. Stein

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

Address

City

State

Zip Code

-

Country

21. Client new principal place of business (if different than line 20)

City

State

Zip Code

-

Country

22. New General description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

1

First Name

Wes

Last Name

Coulam

Suffix

3

First Name

Last Name

Suffix

2

4

ISSUE UPDATE

24. General lobbying issue that no longer pertains

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Internet Address:

Name	Address				Principal Place of Business (city and state or country)
	Street Address				
	City	State/Province	Zip	Country	
	City	State	Country		

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

1

2

3

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address			Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client
	Street Address					
	City	State/Province	Country	City		
				State	Country	%

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

1

3

5

2

4

6

Printed Name and Title Michael J. Stein